IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

TWINSTRAND BIOSCIENCES, INC., & UNIVERSITY OF WASHINGTON,

Plaintiffs,

v.

C.A. No. 21-1126-GBW-SRF

GUARDANT HEALTH, INC.,

Defendant.

STATUS REPORT

Pursuant to the Court's September 14, 2022 Order, the parties hereby update the Court on their efforts to narrow claim construction disputes and to identify the terms that remain in dispute that will be addressed in the Joint Claim Construction Brief and at the December 6, 2022 *Markman* hearing.

Plaintiffs and Guardant have each asserted 4 patents in the above-captioned action. Since the submission of the Joint Claim Construction Chart (D.I. 84) on July 1, 2022, the parties have agreed to the constructions of 8 terms, listed in Appendix A. Further, Plaintiffs have dropped 18 asserted claims¹, thereby mooting the need to construe 4 terms listed in Appendix B.

Only 12 terms remain in dispute, and those are listed in Appendix C.

¹ Plaintiffs no longer assert the following claims: Claims 2, 8, 10, 17, and 20 of U.S. Patent No. 10,287,631; Claims 3, 14, 16, and 27 of U.S. Patent No. 10,689,699; Claims 10, 20, 21, and 24 of U.S. Patent No. 10,752,951; and Claims 10, 11, 14, 26, and 29 of U.S. Patent No. 10,760,127.

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Dated: September 16, 2022 Attorneys for Defendant Guardant Health, Inc.

Appendix A

Agreed-Upon Constructions for Terms in Plaintiffs' Asserted Patents			
Claim Term	Patent, Claim	Agreed Upon Construction	
uniquely labels	'631 patent, claim 1	Plain and ordinary meaning	
quantifying at least two of (i) said paired sequence reads, (ii) said unpaired sequence reads, (iii) read depth of said paired sequence reads, and (iv) read depth of said unpaired sequence reads	'951 patent, claim 1	Plain and ordinary meaning	
partially single-stranded adapters	'127 patent, claim 22	Plain and ordinary meaning with the understanding that the term can include both Y-shaped and U-shaped adaptors	
partially complementary, asymmetrical double-stranded adapter-DNA molecules	'127 patent, claim 1	Plain and ordinary meaning with the understanding that the term can include both Y-shaped and U-shaped adaptors	
other fragment regions	'631 patent, claim 18	Plain and ordinary meaning	
circulating DNA molecule(s)	'699 patent, claims 1, 8, 9, 12, 17–20, 24, 25	DNA molecules that circulate within the circulatory system, which can include cell-free DNA and cellular DNA	

double-stranded circulating nucleic molecules	'Un I natent claime I I	Double-stranded nucleic acid molecules that circulate within the circulatory system, which can include cell-free DNA and cellular DNA
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Agreed-Upon Constructions for Terms in Guardant's Asserted Patents			
Claim Term	Patent, Claim	Agreed Upon Construction	
"cell-free deoxyribonucleic acid (cfDNA)"	'063 patent, claims 15 and 24		
	'858 patent, claims 1, 3, and 5	"DNA that exist(s) outside of a cell while in the body, including in blood, plasma, serum, urine, saliva, mucosal	
	'221 patent, claims 1–5	excretions, sputum, stool, cerebral spinal fluid, or tears."	
	'306 patent, claims 17, 19, and 20		
"a family of the families"	'063 patent, claim 17	"a single family from the plurality of families"	

Appendix B

Because Plaintiffs are no longer asserting certain patent claims, the need to construe the following terms has been mooted:

- "non-unique polynucleotide barcode";
- "non-uniquely tagged double stranded adapter-DNA molecules";
- "substantially identifiable"; and
- "sufficiently unique...substantially differentiated."

Appendix C

Terms Requiring Construction in Plaintiffs' Asserted Patents			
Claim Term	Patent, Claim	Plaintiffs' Proposed Construction	Defendant's Proposed Construction
degenerate sequence(s)	'631 patent, claims 1, 12, 13, 15; '951 patent, claim 23; '127 patent, claim 13	a nucleotide sequence that is known or unknown in which every nucleotide position is unrestricted in its nucleotide variability	[single molecule identifier (SMI) / oligonucleotide] sequence in which all of the nucleotides have been randomly generated
semi-degenerate sequence(s)	'631 patent, claims 1, 12, 13, 15; '951 patent, claim 23; '127 patent, claim 13	a nucleotide sequence that is known or unknown in which at least one nucleotide position is fixed or restricted in its nucleotide variability	[single molecule identifier (SMI) / oligonucleotide] sequence in which some of the nucleotides have been randomly generated
fragment ends	'631 patent, claim 1	Plain and ordinary meaning	Each fragment end is made up of fewer nucleotides than the entire fragment at the terminal end of the fragment after shearing and trimming
non-uniquely tagged parent polynucleotide(s)	'699 patent, claims 1, 18;	a population of parent polynucleotide molecules affixed to polynucleotide barcodes, wherein the same polynucleotide barcode sequence is affixed to multiple parent polynucleotide	Indefinite

		molecules in the [population/sample], and wherein the polynucleotide barcode sequence serves as a molecular identifier only when combined with other information from the tagged parent polynucleotide molecule	
non-unique tag	'951 patent, claim 25	a tag that is affixed to a parent polynucleotide molecule and having a nucleotide sequence, wherein the same tag nucleotide sequence is affixed to multiple parent polynucleotide molecules in the sample, and wherein the tag nucleotide sequence serves as a molecular identifier only when combined with other information from the tagged parent polynucleotide molecule	Indefinite
substantially unique	'699 patent, claims 1, 20	Plain and ordinary meaning; not indefinite	Indefinite
high accuracy sequence reads	'631 patent, claims 1, 16	Plain and ordinary meaning; not indefinite	Indefinite
high accuracy consensus sequence read	'631 patent, claims 1, 4, 7, 16, 23	Plain and ordinary meaning; not indefinite	Indefinite
fragment features	'631 patent, claim 16, 18	Plain and ordinary meaning; not indefinite	Indefinite

DNA fragment-	'127 patent,	Plain and ordinary meaning; not	Indefinite
specific information	claim 22	indefinite	

Terms Requiring Construction in Guardant's Asserted Patents			
Claim Term	Patent,	Plaintiffs' Proposed	Defendant's Proposed Construction
Ciaim Term	Claim	Construction	
comprises between 1 nanogram (ng) and 100 ng of cfDNA molecules	'221 patent, claim 3 '306 patent, claim 19	1 ng or greater of cfDNA molecules	Plain and ordinary meaning
a subject having cancer	'221 patent, claim 2	a subject known to currently have cancer	Plain and ordinary meaning

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